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# Narrative Discourse in Aphasia: Main Concept and Core Lexicon Analyses of the Cinderella Story

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Narrative Discourse in Aphasia: Main Concept and Core Lexicon Analyses of the  
Cinderella Story

by

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Bachelor of Arts  
University of South Carolina, 2011

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Submitted in Partial Fulfillment of the Requirements

For the Degree of Master of Speech Pathology in

Speech Pathology

Arnold School of Public Health

University of South Carolina

2013

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## Dedication

To my parents for getting me started on my journey towards thesis completion by emphasizing education and encouraging persistence from an early age. In the words of Randy Pausch, “I won the parent lottery.” I cannot thank you enough for all the early literacy exposure that set me up for success in life. If every child in America had the upbringing I did, so many more potentials would be reached and ambitions would be realized.

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Thank you, Dr. Richardson and Dr. McDade, for contributing your valuable time as members of my committee to make my goal possible. Thank you, especially, Dr. Richardson, for not only agreeing to be my thesis director, but for projecting enthusiasm from the get-go. Your passion for improving the lives of individuals with aphasia has made working on this project so meaningful and enjoyable. I appreciate every minute you have put into mentoring me and I will be forever grateful for my first amazing laboratory experience. In the words of Eleanor Roosevelt, “A good leader inspires people to have confidence in the leader, a great leader inspires people to have confidence in themselves.” Dr. Richardson is, without a doubt, a great leader.

Finally, thank you to my labmates for being the most positive, hilarious, and motivating labmates anyone could ask for.

## Abstract

Discourse is a highly individualized and complex speech act essential for effective communication in daily life. Individuals with language disorders demonstrate impaired narrative ability and a resultant decline in functional communication (Webster, Franklin, & Howard, 2007). Discourse analysis is often time-consuming and impractical for everyday clinical use. Most informative discourse measures require specialized training to perform and are time-consuming. A clinically practical measurement is lacking. Standardized core lexicon and main concept lists for discourse tasks could potentially allow clinicians to efficiently assess discourse skills and predict activity and participation limitations. This study presents the development and application of a core lexicon and main concept list for monologic narration of the Cinderella story (utilizing AphasiaBank). Analyses were conducted on the following groups: controls, anomic, Broca's, conduction, Wernicke's.

Results indicated that both analyses clearly separated individuals with aphasia from those with typical language abilities. Both analyses were able to distinguish some subtypes of aphasia but were unable to differentiate each subtype from one another. Importantly, core lexicon analysis correlated strongly with the more time intensive measure of main concept analysis for all groups. Results of this study lend support to the usefulness of further development and application of core lexicon and main concept analyses as efficient methods of determining narrative adequacy in PWAs.

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## CHAPTER 1

### Introduction

Due to productivity requirements and pressure from insurance companies, speech-language pathologists must utilize the most time-efficient methods of assessing initial impairments and measuring progress during treatment. Along with being time-efficient, it is imperative that assessment tools are actually providing an indication of a patient's functional communication skills. MacWhinney, Fromm, Holland, Forbes, and Wright (2010) suggest that comparing a patient's noun and verb lexicon during a specific narrative task to a created core lexicon may be a time-efficient and informative assessment method. Core lexicons can be developed by analyzing transcripts of non-brain injured individuals with the help of tools, such as Computerized Language Analysis (CLAN). Grande et al. (2008) concluded that computer analysis of specific discourse measurements (e.g., percentage open class words, mean length of utterance, syntactic complexity) could be a practicable tool for use in clinical settings. Their study found that not only was the analysis of basic parameters efficient, but also that the measurements obtained were more sensitive to changes over the course of treatment than more commonly used rating scales (i.e., Aachen Aphasia Test). While it should be noted that the authors suggested further research is needed to determine the specificity of these basic parameters, the fact that computer analysis of basic parameters appeared more sensitive to improvement than rating measurements certainly warrants attention. A measurement, such as percentage of core words produced, could potentially provide an

efficient and meaningful indication of narrative discourse skill and improvement over the course of treatment. Because core lexicons would be based on narratives of non-brain injured individuals, production of a greater number of core words would suggest a narrative is more similar to that of typical speakers. Webster, Franklin, and Howard (2007) emphasize the importance of performing extensive discourse analysis on control subjects in order to have a relevant measure of comparison when analyzing the discourse of persons with aphasia (PWAs). Without basing standards on actual productions of control participants, there is no way of assuring relevancy.

Much of the research in the area of word retrieval involves assessment during confrontation naming tasks (Mayer & Murray, 2003). Some studies have demonstrated a relationship between word retrieval during confrontation naming and word retrieval during conversation, suggesting that improved word retrieval during confrontation naming results in similarly improved word retrieval during conversation (Brown & Cullinan, 1981; Hickin, Best, Herbert, Howard, & Osborne, 2001). However, other studies have failed to demonstrate a relationship between the two and emphasize the fact that confrontation naming is quite different from real-word speaking situations. Berndt, Haendiges, Burton, and Mitchum (2002) found that PWAs had much more difficulty with sentence completion tasks than confrontation naming. Meanwhile, Mayer and Murray (2003) found that confrontation naming was a more difficult task for PWAs when compared to picture description or discourse. Together, these studies provide support for the idea that performance on one speech-language production task cannot predict the performance on another. Edwards (1998) clearly demonstrated this variability in deficits of PWAs over a variety of tasks, suggesting that several different types of tasks must be

used to get a full picture of a PWA's abilities. Given this information, most clinicians would agree that narrative tasks should be included for a thorough sample of communication.

Questions arise about what type of task should be used to elicit this narrative sample. Previous research suggests that different narrative tasks do, indeed, facilitate different levels of output quality and quantity for both PWAs and control speakers (Fergadiotis & Wright, 2011; Fergadiotis, Wright, & Capilouto, 2011). Some different narrative tasks which have been used in research and clinical settings include procedural accounts of common activities, picture description, story generation using a series of pictures, story retell without pictures, and narration of a personal event (Fergadiotis & Wright, 2011; Fergadiotis et al., 2011). Each of these tasks provides different levels of support for semantic retrieval (Fergadiotis & Wright, 2011; Fergadiotis et al., 2011). Personal narrative tasks, for example, provide the most freedom and least support, which may seem optimal when trying to assess functional communication. However, several practical concerns arise when considering the use of personal narrative tasks, the primary concern being that because clinicians are unfamiliar with all personal events in each of their client's lives, they may be unable to judge the correctness of a personal narrative. In addition, relying solely on the use of personal narratives would eliminate the possibility of developing a standardized core lexicon for efficient and standardized performance assessment.

Although discourse tasks, such as story narration, are thought to provide valuable information to clinicians, they are underused due to practical concerns of time and training (Armstrong, Brady, Mackenzie, & Norrie, 2007; Boles & Bombard, 1998;

Olness, Gyger, & Thomas, 2012). Thorough discourse analysis requires lengthy samples of speech, which can be time-consuming in clinical settings (Boles & Bombard, 1998). However, a study by Boles and Bombard (1998) found that even discourse samples as short as five minutes can provide reliable information regarding discourse skill, as long as the particular behavior of interest occurs at least three times per minute. Since core words occur much more frequently than three per minute, one short story may very well provide enough information for reliable assessment.

Along with obtaining a lengthy narrative sample, another concern is the amount of time and training required for phonetic transcription and error coding (Armstrong et al., 2007) in order to precisely record every word, including details such as paraphasias, repetitions, and revisions, which characterize the speech of PWAs. Armstrong (2007) reported that one researcher suggested that one minute of speech could take close to an hour to transcribe, while another researcher reported that even when using computerized software, one minute of speech takes about 10 minutes to perform accurate phonetic transcription. In light of these time demands, Armstrong (2007) expresses the need for non-transcription-based analysis in order to make narrative assessments feasible in clinical settings. With a core lexicon analysis, a clinician would simply need to record a certain class of words while listening to their patient's narration of one story and later compare the words produced to the core lexicon list.

The current study focused on monologic narration of a familiar story. This type of task is confining enough to provide listeners with sufficient context and allow for standardized measurement. Meanwhile, it is not so restrictive as to prevent valid assessment of functional communication (Webster et al., 2007). The monologic narration

task was selected over a procedural task or picture descriptions that have been shown to elicit less lexical diversity (Fergadiotis & Wright, 2011; Fergadiotis et al., 2011). Further, monologic narrative tasks have been shown to elicit greater lexical diversity in control speakers and illustrate disparities in output between PWAs and control speakers that may not be apparent based on a short picture description task (Fergadiotis & Wright, 2011). We selected the Cinderella story, a task commonly used for narrative assessment in PWAs (MacWhinney et al., 2010; MacWhinney, Fromm, Forbes, & Holland, 2011; Bird & Franklin, 1996; Webster et al., 2007). Bird and Franklin (1996) discussed the clinical use of the Cinderella story as a means of measuring impairments and improvements of PWAs. Cinderella is a story familiar to the general population and is of adequate length to elicit sufficient output.

Speech production deficits are known to differ between the different aphasia subtypes, suggesting that it may be necessary to analyze narrative skills of subtypes separately. In Grande et al.'s (2008) study involving computerized analysis of discourse, the parameters most sensitive to improvements in participants with fluent aphasia differed from the parameters most sensitive to improvements in participants with non-fluent aphasia. In light of this information, it may be the case that a core lexicon list is more predictive of narrative adequacy for one subtype of aphasia, but less relevant for another subtype. Due to this possibility, the current study looked at the different subtypes of aphasia separately. It was decided that even grouping by fluent and non-fluent aphasias would allow too much variability within groups, since the broad categories of fluent and non-fluent aphasia each encompass a number of different subtypes with different speech patterns. Therefore, the subgroups of anomia, Broca's, conduction, and Wernicke's were

each analyzed separately. Because limited sample sizes were available for the subtypes of transcortical motor, transcortical sensory, and global aphasia, these subtypes were not included in the analysis. However, it may be expected that individuals with transcortical motor aphasia would perform similarly to our Broca's subjects and individuals with transcortical sensory aphasia would perform similarly to our Wernicke's subjects. Meanwhile, the deficits present in individuals with global aphasia make core lexicon analysis an inappropriate assessment method due to the paucity of output.

Analyzing an entire lexicon, even just for one narrative task, does not seem to be the most efficient usage of a core lexicon approach to assessing narrative skill. This would be too time-intensive and may not provide specific information that may be offered by a more narrow analysis. Creating core lexicons of specific lexical classes allows us to see the predictive capabilities of lexical class usage independent of one another, as well as in combination. In the current study, core lexicon lists were created for the lexical classes of verbs, nouns, and adjectives, which are the classes that carry the bulk of semantic information. A study by Berndt et al. (2002) provided support for the countless researchers who have noted production deficits along lexical class lines. The study looked at whether imageability can explain patterns of production, which have been thought to be caused by the effects of lexical class. Results showed that there were deficits specific to nouns or verbs, which were not simply due to imageability. This possibility of potential lexical class deficits warrants investigation using separate target lexicon lists for verbs, nouns, and adjectives.

When comparing different types of aphasia, numerous studies have found that PWAs with agrammatic speech have greater difficulty with verbs, while individuals with

fluent aphasia have greater difficulty with nouns (Armstrong, 2001; Bird, Howard, Franklin, 2003; Gordon, 2008; Luzzatti et al., 2002; Thompson, Lukic, King, Mesulam, & Weintraub, 2012). Gordon (2008) explains that PWAs with agrammatic speech have greater difficulty with verbs due to the fact that verbs have more syntactic weight than nouns. Because individuals with agrammatic speech have a deficit in syntax that those with fluent aphasia do not, agrammatic speakers are the ones who show a stronger verb deficit. However, not every study follows this pattern. Some studies have, in fact, reported verb impairments in non-agrammatic PWAs (Druks, 2002; Thompson et al., 2012). By separating analyses of verbs, noun, and adjective core lexicons, as well as separating the different classes of aphasia, in the current study we are able to observe whether lexical class deficits exist and how they may differ between subtypes of aphasia. Based on the previous literature, it was predicted that in the current study, subjects with Broca's aphasia would produce disproportionately fewer core verbs and adjectives than core nouns. Subjects with anomic, conduction, and Wernicke's aphasia were predicted to exhibit greater impairment in producing core nouns and adjectives, as compared to core verbs. While other parts of speech could provide additional information about narrative adequacy, it was decided to only look at the chosen three lexical classes in order to maintain the quick nature of the tool. Verbs, nouns, and adjectives are the three largest categories of open-class words, and they carry the majority of meaning in discourse. Since the goal was to develop a tool to assess the amount of information individuals were able to express, it was felt that analysis of these three lexical classes would provide sufficient information.

One limitation of a core lexicon analysis is that it does not assess the contextual use of the core words. In order to be considered a clinically applicable tool for the assessment of narrative adequacy, core lexicon production must be shown to correlate with established measurements of narrative adequacy. Main concept analysis is a narrative measure supported by previous studies as being an informative method of assessing adequacy of communication (Nicholas & Brookshire, 1995; Kong, 2009). Main concept analysis is not only sensitive to differences in information content, but it is also a reliable measure when obtained by numerous evaluators (Nicholas & Brookshire, 1995). Beyond providing information regarding ability during a specific narrative task, an increase in the number of main concepts produced was shown to be significantly correlated with listeners' ratings of functional communication improvement (Ross & Wertz, 1999). While it would be ideal to have information on how appropriately PWAs are able to use the words in context, the process of obtaining such information detracts from the efficiency. However, if core lexicon measures were to correlate highly with main concept measures, then the former could prove to be an efficient assessment tool that could predict functional communication ability and chart change in those abilities.

The current study began with the development of a core lexicon for the Cinderella story. This lexicon was generated based on monologic narration by control participants and was originally intended to include verbs, nouns, and adjectives. Because only one adjective was produced by enough participants to be considered core, it was decided to exclude the sole adjective and have the core lexicon be comprised entirely of nouns and verbs. The total number of core verbs and nouns produced by each control and each person with aphasia (anomic, Broca's, conduction, and Wernicke's) was determined.

Core lexicon productions of each subtype were compared to that of controls and to every other subtype. A main concept list was also established based on control transcripts. With the established list, Cinderella narratives of all control participants and persons with anomic, Broca's, conduction, and Wernicke's were coded and scored. Scores were added up for calculation of a main concept composite score for each participant. Main composite scores of each subtype were compared to controls and to every other subtype. Finally, core lexicon production was correlated to main concept composite score for controls and each of the four aphasia subtypes. Core verb production and core noun production were also separately correlated to main concept composite score for each of the five groups.

For the current study, it was predicted that core lexicon production would correlate significantly to main concept production, and that the correlation would be stronger when investigating the correlation with the entire core lexicon than with just verbs or nouns. It may be the case that these correlations differ for the aphasia subtypes, but it was predicted that similar correlations would exist when looking at subjects with different types of aphasia.

## CHAPTER 2

### Methods

#### Database

This study utilized AphasiaBank, an online database of multimedia resources available for researchers and clinicians involved in the study and treatment of PWAs. Along with providing demographic information and assessment scores of all subjects, the database also includes videos and transcripts of subjects completing a variety of tasks, including Cinderella story narration. One hundred fifty-eight non-aphasic control subjects from the AphasiaBank database were used for the creation of a core lexicon for the Cinderella story. A smaller sample of control transcripts ( $N = 51$ ) were included in the development of a main concept list than in the establishment of a core lexicon, simply due to the fact that main concept analysis is a much more time intensive process. In order to ensure that the main concepts would be reflective of a typical adult of any age, the same numbers of control transcripts ( $N = 17$ ) were analyzed from three age groups (20 - 40, 41 - 60, 61 - 80). In order to decrease risk of any bias of age or gender, during the selection of controls, subjects in each of the three age groups were matched for gender and age within each range. One hundred thirteen PWAs of four aphasia subtypes were included in the analyses of core lexicons and main concepts. The total numbers of participants separated by aphasia type were as follows: 45 anomic, 30 Broca's, 25 conduction, and 13 Wernicke's. Individuals with transcortical motor, transcortical

sensory, and global aphasia were not included due to the small number of these types existing on AphasiaBank (range of one to five transcripts). Subjects without a Cinderella transcript were also excluded from the study.

### Materials

Cinderella story transcripts, of both PWAs and control subjects, were retrieved from the AphasiaBank database. Computerized Language Analysis (CLAN) was used to formulate lists of all the verbs and nouns produced by control subjects, along with the number of subjects producing each word (incidence). After establishing core lexicon and main concept lists with the use of Excel, CLAN was again utilized to generate spreadsheets with the verbs, nouns, and adjectives produced by each PWA. SPSS software was used to perform statistical analysis of the compiled data.

### Procedure

#### *Aim 1: Investigating Core Lexicon*

Core verb, noun, and adjective lexicons were created for the Cinderella story, based on the narratives of all control subjects on AphasiaBank (N = 158). Core verb and core noun lists have been created for the Cinderella story in a previous study (McWhinney et. al. 2010) based on 25 subjects. All verbs, nouns, and adjectives produced by at least 20% of subjects were included in the core lexicon lists. The current study included a larger group of control subjects (N = 158), and in order to be included in the core lexicon list, a word had to be produced by at least 50% of subjects. Fifty percent was selected due to the fact that it yielded a reasonably sized lexicon and has served as a criterion in previous language research, such as in Brown's stages of language development (Owens, 2008). The inclusion criterion of 50% generated core lexicon lists

that reflect the elements that seem to be essential to successful narration of the Cinderella story. The more stringent criterion resulted in only one adjective meeting the qualification. Therefore, adjectives were not included in the analyses, as originally intended. Once the lexicons were established, the numbers of core nouns and verbs produced by each PWA (N = 113) and by each control (N = 158) were counted, and each subtype was compared to controls. To determine how well each method of analysis was able to differentially characterize the four subtypes of aphasia, the subtypes were first compared on the number of core lexical items produced (nouns and verbs) and then a closer examination of potential differences between nouns and verbs followed.

*Aim 2: Investigating Main Concepts*

Control transcripts were also analyzed in order to establish a list of main concepts, again using the inclusion criterion of 50% production. All relevant concepts were identified in each of 51 control transcripts. A relevant concept was defined as a correct utterance about the Cinderella story that contained a subject, one main verb, and an object, if appropriate. It could also contain subordinate clauses, as long as it contained only one main verb (Nicholas & Brookshire, 1995). A master list of all relevant concepts produced was developed, in which relevant concepts were simplified to the form of subject, verb, and object for ease of comparison across participant. Any relevant concepts that were judged to have the same basic message were regarded as the same concept to allow for varying vocabulary (e.g., “his family decided it was time for him to take a wife,” “the young prince is at a point where he needs to select a bride to get married to carry on the lineage of the royal family”, and “once there was a prince who was looking for a princess,” were judged to cover the same main concept of “the prince needed to find

a wife”). The frequency of occurrence of concepts was recorded across all subjects, and any concept spoken by 50% or more of subjects was listed as a main concept. Using the created main concept list, each transcript (51 controls, 113 PWAs) was scored according to a scoring system we adapted from Nicholas and Brookshire (1992), which included the following codes: inaccurate incomplete, inaccurate complete, accurate incomplete, and accurate and complete. Every transcript received the same number of codes, one for each concept on the master list of main concepts. In order to be coded as accurate, a statement had to include no incorrect information. A single semantic paraphasia would result in a statement being coded as inaccurate, because this meets the definition of incorrect information. Statements including phonemic paraphasias, however, could be coded as accurate as long as the phonemic error does not cause any ambiguity with the regards to intended word production. Completeness was determined by whether every component deemed to be a necessary concept of a main concept was mentioned in the speaker’s production. Based on these definitions of accuracy and completeness, accurate and complete concepts had to contain all components of the main concept with no incorrect information. Accurate, but incomplete concepts contained no incorrect information, but left out a component of the main concept. Inaccurate, yet complete statements contained at least one incorrect piece of information, but mentioned all components of the established main concept. Lastly, the coding of inaccurate and incomplete was given when a statement clearly corresponded with a main concept, but included at least one incorrect component and failed to include at least one component of the main concept. After being coded based on accuracy and completeness, corresponding scores were assigned, and a composite score for each subject was computed. Statements coded as

absent received a score of zero, statements coded as inaccurate and incomplete received a score of one, statements coded as inaccurate but complete or accurate but incomplete received scores of two, and statements coded as accurate and complete received scores of three. The PWAs, and then each subtype separately, were compared to controls based on main concept composite score. To determine how well each method of analysis was able to differentially characterize the four subtypes of aphasia, the subtypes were compared based on main concept composite score.

### *Aim 3: Relationship Between Core Lexicon and Main Concepts*

Correlations were determined between the number of core words produced and main concept scores for controls and each aphasia subtype separately. Further analyses were conducted to look at relationships between core nouns and main concept scores and core verbs and main concept scores for controls and each subtype.

## Statistical Analysis

### *Aim 1: Investigating Core Lexicon*

A median test was conducted comparing the entire core lexicon production of PWAs to that of controls. Non-parametric tests were used throughout the analyses due to skewed distributions of data. The median test was selected, as opposed to the Mann Whitney *U* Test, because distributions were not homogeneous across groups. Four median tests were conducted in order to compare each subtype (anomic, Broca's, conduction, Wernicke's) to controls based on core lexicon production. Alpha levels for these tests were determined based on the Holm-Bonferroni method of correction from an original alpha level of .05 in order to decrease the likelihood of type I error. After comparing each subtype to controls based on core lexicon, two more families of tests

were run using the aforementioned procedure to compare specifically the core verb and noun productions of the four subtypes to those of controls. To determine whether any differences existed in core lexicon productions across the four subtypes of aphasia, another median test was conducted. Controls were not included in this comparison in order to prevent the resulting magnitude of difference from being inflated by the much higher core lexicon production of controls. For each family of tests, alpha levels were adjusted using the Holm-Bonferroni method.

*Aim 2: Investigating Main Concepts*

A median test was conducted comparing main concept composite score of PWAs and controls. Four median tests, with alpha levels adjusted by Holm-Bonferroni correction, were then conducted comparing each subtype individually to controls. Next, a median test was conducted to determine whether differences existed between main concept scores across the four subtypes. Finally, median tests were conducted to compare each subtype to each of the other subtypes based on main concept composite score. As with previous analyses, alpha levels were adjusted using the Holm-Bonferroni method for each family of tests.

*Aim 3: Relationship Between Core Lexicon and Main Concepts*

A Spearman's correlation coefficient was computed on the relationship between core lexicon production and main concept composite scores across all groups. Spearman's correlation coefficients were computed, as opposed to Pearson correlation coefficients, because data was not normally distributed. Spearman's correlation coefficients were also calculated between each of the two individual lexical class productions (verb and noun) and main concept scores. Fifteen more correlation

coefficients were computed in order to obtain the same information for the control, anomic, Broca's, conduction, and Wernicke's groups, separately. For every correlation coefficient obtained, a significance test was also conducted.

## CHAPTER 3

### Results

#### *Aim 1: Investigating Core Lexicon*

The established core lexicon consisted of 26 verbs and 19 nouns (Appendix A and Appendix B). The median core lexicon production of controls was 32.5, while the median for PWAs was 12. A median test evaluating the difference between core lexicon production in controls and PWAs was significant,  $\chi^2(1, n = 271) = 127.788, p < .001$ , with a large Cramer's V of .687. Median tests comparing core lexicon production for each of the subtypes individually to controls were all significant, with effect sizes ranging from .282 to .426 (Table 3.1). All median tests comparing specifically the number of core verbs and nouns produced by the subtypes of aphasia to the numbers produced by controls were also significant, with effect sizes ranging from small to somewhat large (Tables 3.2 and 3.3).

Once establishing the difference between PWAs and controls, the difference between subtypes was then explored. A median test indicated a significant difference between groups,  $\chi^2(3, n = 113) = 27.279, p < .001$  with a Cramer's V of .491. When further tests were conducted comparing every possible pair of subtypes, Broca's was the only subtype whose core lexicon production significantly differed from any of the others (Table 3.4), differing significantly from both the anomic and conduction groups, but not from the Wernicke's group. Three of the six median tests subsequently conducted on core verb productions of each pair of subtypes revealed significant differences (Table 3.5).

The significant differences in core verb production between Broca's and anomic groups and Broca's and Wernicke's groups both had large effect sizes (.512 and .503), while the significant difference in core verb production between anomic and conduction groups had a medium effect size (.346). The three pairs showing no significant difference in core verb production were anomic and Wernicke's, conduction and Wernicke's, and conduction and Broca's (Table 3.5). Comparisons of individual subtypes revealed significant differences between four of the six pairs of subtypes (Table 3.6). Differences between core noun production of the anomic and Broca's groups and the conduction and Broca's group were particularly strong, with effect sizes of .533 and .559, respectively (Table 3.6). The pairs that were not differentiated by core noun production alone were anomic and conduction and Broca's and Wernicke's (Table 3.6).

#### *Aim 2: Investigating Main Concepts*

During the development of a main concept list, 28 concepts met the 50% inclusion criterion and were included as main concepts (Appendix C). Median main concept composite scores were as follows: 63 for controls, 25 for anomic, 8.5 for Broca's, 12 for conduction, and 7 for Wernicke's (Figure 3.4). A median test comparing main concept scores of all PWAs to controls was significant,  $\chi^2(1, n = 164) = 64.547, p < .001$ , with a large effect size of .627. All median tests comparing individual subtypes to controls were also significant, with large effect sizes ranging from .505 to .758 (Table 3.7). A subsequent median test comparing main concept production of the four subtypes of aphasia indicated a significant difference, as well,  $\chi^2(3, n = 113) = 21.867, p < .001$ , with an effect size of .440. Two of the six median tests conducted between each pair of subtypes were significant (Table 3.9) – the anomic subtype produced significantly more

main concepts than Broca's and conduction subtypes. Boxplots of main concept scores of all five groups can be found in Figure 3.4.

*Aim 3: Relationship Between Core Lexicon and Main Concepts*

Spearman correlations indicated significant relationships between main concept score and core lexicon production for all groups (Table 3.9). A strong positive correlation existed between the two variables for all groups (Figure 3.5). Correlations between main concept score and core verb production were slightly weaker, but still significant for all groups, except Wernicke's,  $r(11) = .468, p = .106$  (Table 3.9, Figure 3.6). Correlations between main concept score and core noun production were also weaker than the correlations involving the entire core lexicon. However, these correlations were still significant for all groups (Table 3.9, Figure 3.7).

Table 3.1

*Subtype vs. Controls: Entire Lexicon*

Groups	Chi-squared	P-Value	Cramer's V
Anomic vs. Controls*	36.802	< .001*	.426
Broca's vs. Conduction*	28.832	< .001*	.392
Conduction vs. Controls*	24.568	< .001*	.366
Wernicke's vs. Controls*	13.584	< .001*	.282

\*significant at adjusted alpha level following Holm-Bonferroni correction (original alpha of .05)

Table 3.2

*Subtype vs. Controls: Core Verbs*

Groups	Chi-squared	P-Value	Cramer's V
Anomic vs. Controls*	31.981	< .001*	.397
Broca's vs. Conduction*	34.945	< .001*	.431
Conduction vs. Controls*	24.568	< .001*	.366
Wernicke's vs. Controls*	13.584	< .001*	.282

\*significant at adjusted alpha level following Holm-Bonferroni correction (original alpha of .05)

Table 3.3

*Subtype vs. Controls: Core Nouns*

Groups	Chi-squared	P-Value	Cramer's V
Anomic vs. Controls*	30.193	< .001*	.386
Broca's vs. Conduction*	30.748	< .001*	.404
Conduction vs. Controls*	4.778	.029*	.162
Wernicke's vs. Controls*	9.289	.002*	.233

\*significant at adjusted alpha level following Holm-Bonferroni correction (original alpha of .05)

Table 3.4

*Subtype comparisons: Entire Core Lexicon*

Groups	Chi-squared	P-Value	Cramer's V
Anomic vs. Broca's*	27.009	< .001*	.600
Anomic vs. Conduction	3.579	.059	.226
Anomic vs. Wernicke's	.892	.345	.124
Broca's vs. Conduction*	13.026	< .001*	.487
Broca's vs. Wernicke's	5.736	.017	.365
Conduction vs. Wernicke's	.012	.899	.021

\*significant at adjusted alpha level following Holm-Bonferroni correction (original alpha of .05)

Table 3.5

*Subtype Comparisons: Core Verbs*

Groups	Chi-squared	P-Value	Cramer's V
Anomic vs. Broca's*	19.667	< .001*	.512
Anomic vs. Conduction*	8.359	.004*	.346
Anomic vs. Wernicke's	.646	.421	.106
Broca's vs. Conduction	.120	.729	.047
Broca's vs. Wernicke's*	10.896	.001*	.503
Conduction vs. Wernicke's	1.117	.290	.171

\*significant at adjusted alpha level following Holm-Bonferroni correction (original alpha of .05)

Table 3.6

*Subtype Comparisons: Core Nouns*

Groups	Chi-squared	P-Value	Cramer's V
Anomic vs. Broca's*	21.346	< .001*	.533
Anomic vs. Conduction	1.556	.212	.149
Anomic vs. Wernicke's*	7.259	.007*	.354
Broca's vs. Conduction*	17.160	< .001*	.559
Broca's vs. Wernicke's	1.100	.294	.160
Conduction vs. Wernicke's*	6.886	.009*	.426

\*significant at adjusted alpha level following Holm-Bonferroni correction (original alpha of .05)

Table 3.7

*Subtype vs. Controls: Main Concept Score*

Groups	Chi-squared	P-Value	Cramer's V
Anomic vs. Controls*	45.553	< .001*	.689
Broca's vs. Conduction*	46.485	< .001*	.758
Conduction vs. Controls*	31.803	< .001*	.647
Wernicke's vs. Controls*	16.314	< .001*	.505

\*significant at adjusted alpha level following Holm-Bonferroni correction (original alpha of .05)

Table 3.8

*Subtype Comparisons: Main Concept Scores*

Groups	Chi-squared	P-Value	Cramer's V
Anomic vs. Broca's*	15.705	< .001*	.458
Anomic vs. Conduction*	8.359	.004*	.346
Anomic vs. Wernicke's	4.858	.028	.289
Broca's vs. Conduction	2.183	.140	.199
Broca's vs. Wernicke's	.054	.817	.035
Conduction vs. Wernicke's	2.184	.139	.240

\*significant at adjusted alpha level following Holm-Bonferroni correction (original alpha of .05)

Table 3.9

*Relationship Between Main Concepts and Core Lexicon*

Groups	MCs & Core Lexicon	MCs & Core Verbs	MCs & Core Nouns
All Groups (162)	.925, < .001*	.878, < .001*	.850, < .001*
Controls (49)	.771, < .001*	.725, < .001*	.621, < .001*
Anomic (43)	.894, < .001*	.790, < .001*	.851, < .001*
Broca's (28)	.755, < .001*	.648, < .001*	.725, < .001*
Conduction (23)	.851, < .001*	.798, < .001*	.592, .002*
Wernicke's (11)	.693, .009*	.468, .106	.859, < .001*

\*significant at adjusted alpha level following Holm-Bonferroni correction (original alpha of .05)

*Note.* In column one, degrees of freedom are listed in parentheses. In columns two through four, the first number listed is Spearman's rank correlation coefficient, and the second number listed is the p-value.

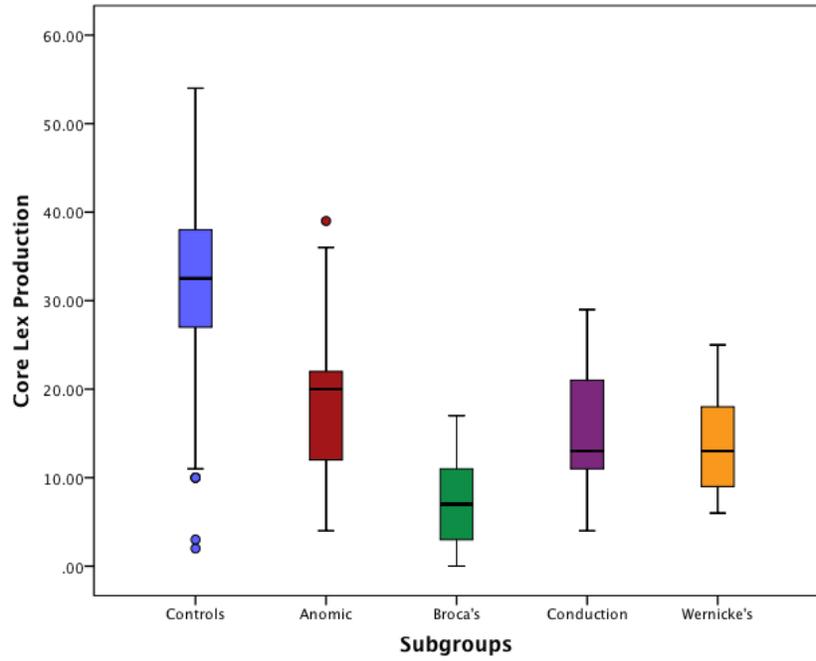


Figure 3.1. Core Lexicon Production.

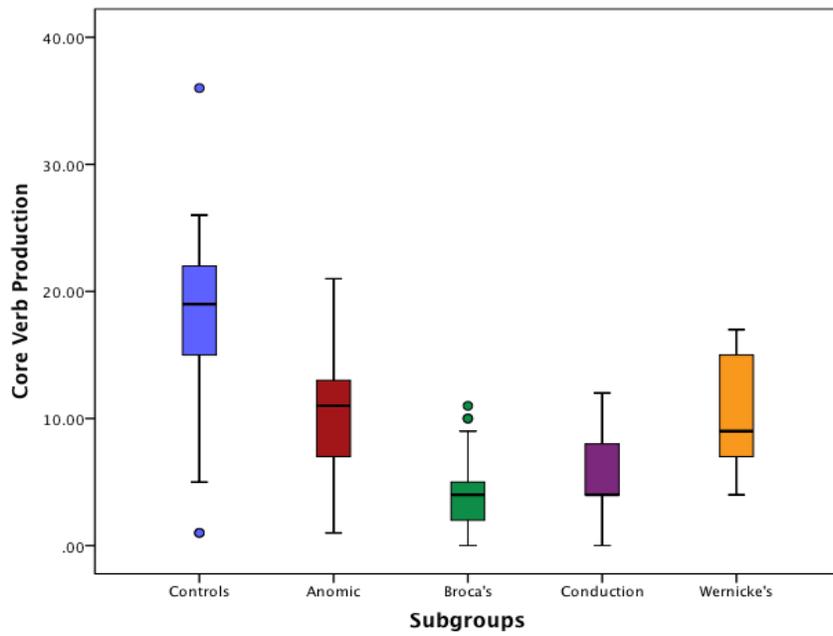


Figure 3.2. Core Verb Production.

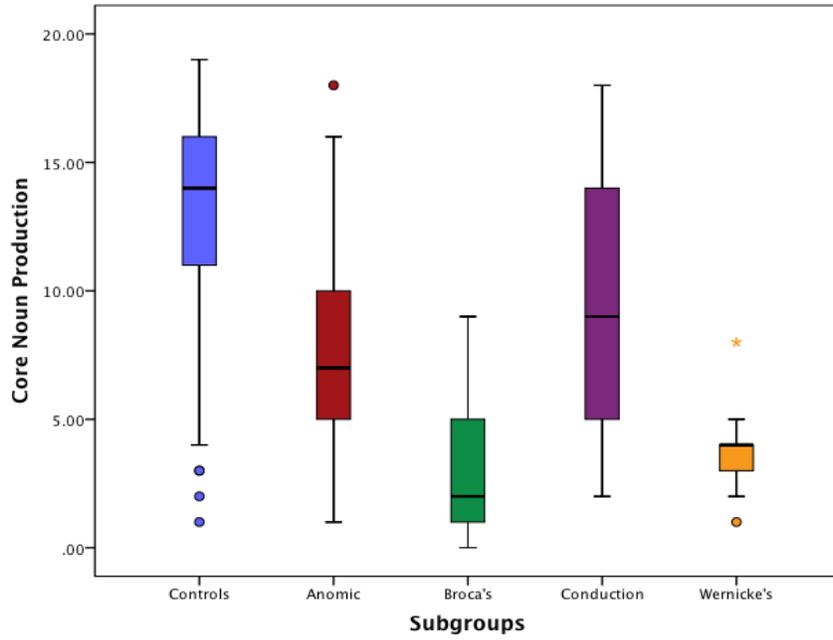


Figure 3.3. Core Noun Production.

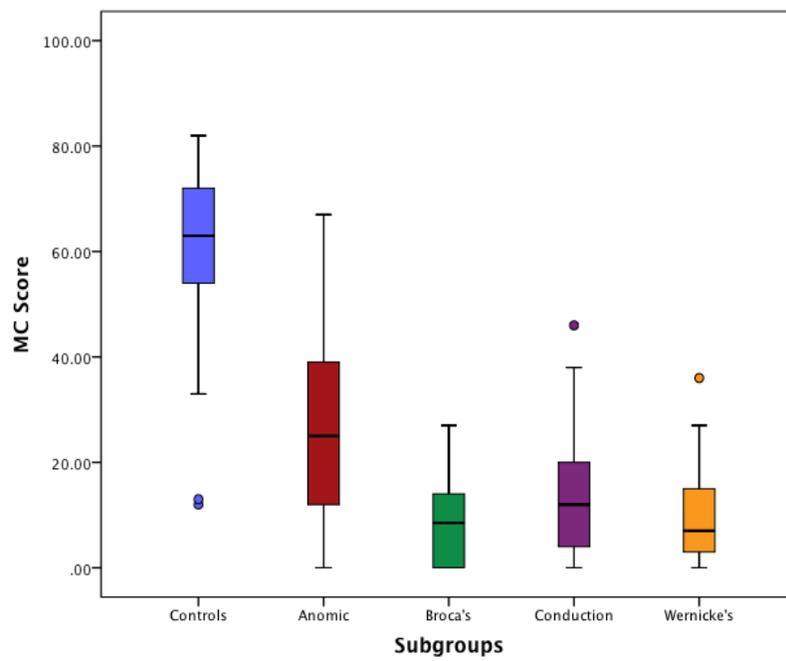


Figure 3.4. Main Concepts.

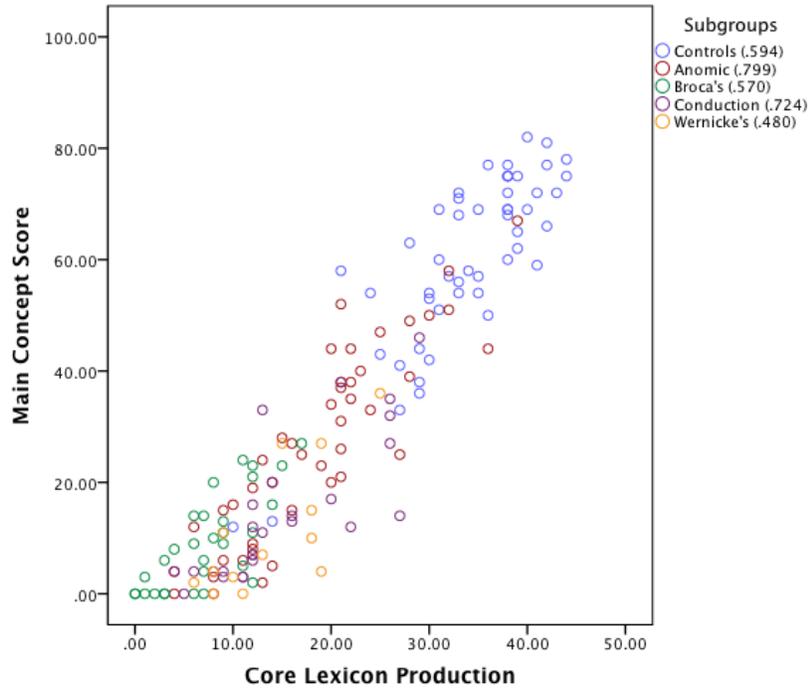


Figure 3.5. Relationship Between Main Concepts and Core Lexicon.  $R^2$  listed in parentheses;  $R^2$  across all groups=.856.

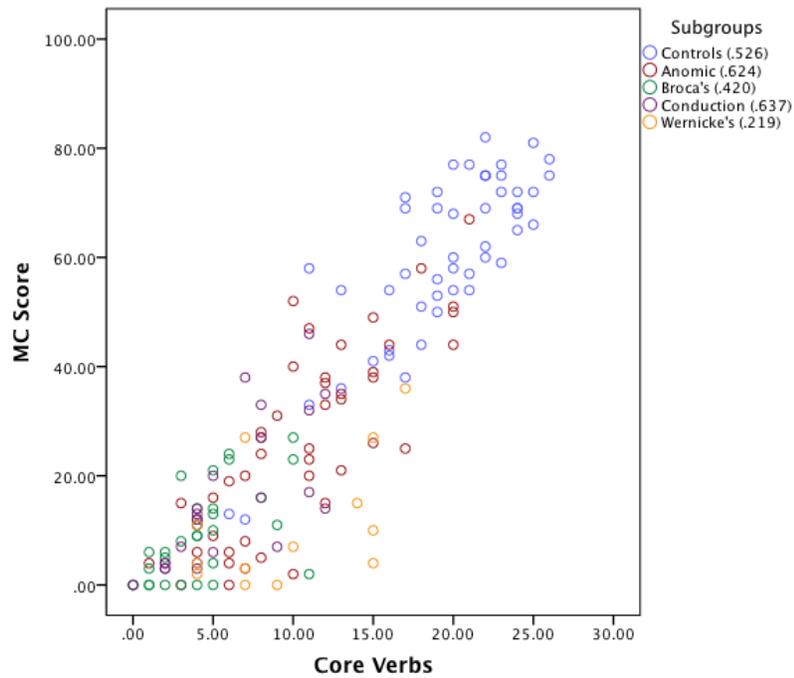


Figure 3.6. Relationship Between Main Concepts and Core Verbs.  $R^2$  listed in parentheses;  $R^2$  across all groups=.771.

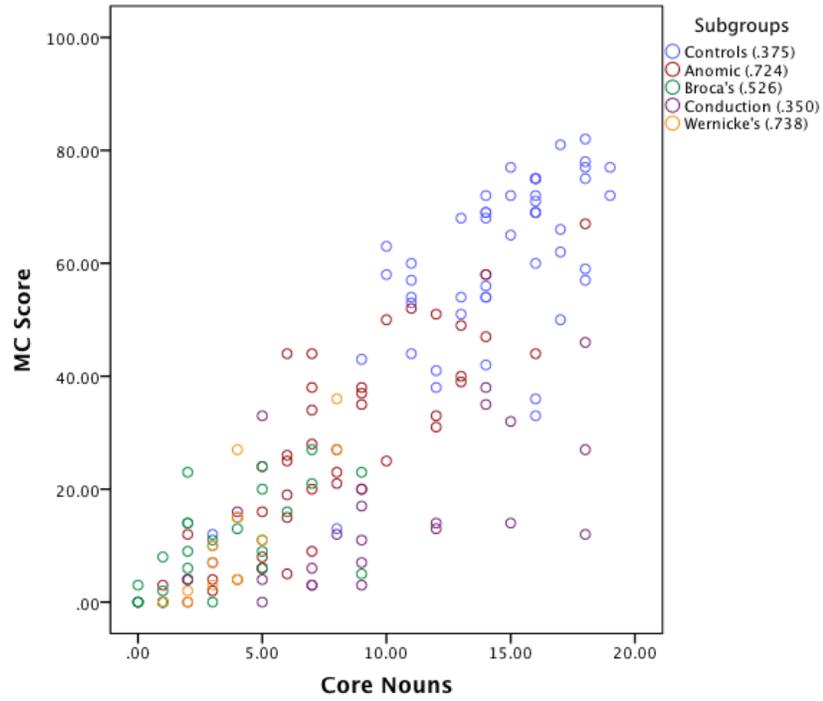


Figure 3.7. Relationship Between Main Concepts and Core Nouns.  $R^2$  listed in parentheses;  $R^2$  across all groups=.723.

## CHAPTER 4

### Discussion

#### *Aim 1: Investigating Core Lexicon*

MacWhinney et al. (2010) suggested core lexicon analysis during narration may provide a time-efficient and informative indication of functional communication. For example, clinicians would not need to perform lengthy transcription, but instead could generate a list of words spoken during narration for later comparison to a core lexicon. What is needed is a core lexicon derived from a large sample of controls, ensuring that the lexicon reflects typical discourse abilities. After analyzing transcripts of 158 adults with typical language and utilizing a more stringent criterion of 50% incidence, the resultant core lexicon reflects what is essential to successful Cinderella narration. This core lexicon list can be utilized by clinicians in the previously described manner as a tool for narrative discourse assessment.

Comparison of the core lexicon production of controls and PWAs indicated markedly greater production by controls. While this result was expected, establishing this difference was a necessary initial step in core lexicon analysis. Results of the three median tests comparing core lexicon, verb, and noun productions of the four subtypes suggest that distinctions in core verb and noun production are evident between aphasia subtypes. This information may have important implications for the validity of core lexicon analysis, as it suggests that this measure may be sensitive to differences between aphasia subtypes. When specifically comparing pairs of subtypes based on entire core

lexicon production, Broca's was the only subtype that could be differentiated from others. This suggests that core lexicon analysis may not be sensitive enough to differences between the other subtypes.

The same findings do not hold true when looking specifically at individual lexical class productions. While just looking at core verbs would be sufficient for differentiating Broca's from anomic and Wernicke's subtypes, this information would not be adequate for differentiating Broca's from conduction. Based on findings from this study, analysis of core verb and noun productions would be necessary in order to make the distinction between Broca's and conduction. Another interesting finding regarding core verb comparisons was that anomic and conduction subtypes were differentiated on this measure, even though this was not the case based on entire lexicon comparisons. Meanwhile, core noun analysis could sufficiently make distinctions between all pairs, except anomic and conduction and Broca's and Wernicke's subtypes. After comparing groups based on the entire core lexicon and individual classes, it is clear that the different measures result in varying degrees of discrimination between different pairs of aphasia subtypes.

#### *Aim 2: Investigating Main Concepts*

Standardized main concept lists for discourse tasks could allow clinicians to efficiently assess discourse skills and predict activity and participation limitations. The generated main concept list could potentially serve as a clinically useful checklist for narrative assessment of individuals with aphasia when the Cinderella narrative is elicited according to AphasiaBank conventions. Similarly to the procedure with core lexicon analysis, an important initial step in the exploration of main concept analysis was to

ensure its ability to highlight a clear difference between discourse skill of PWAs and controls. The strong effect sizes of all tests comparing the different subtypes of aphasia to controls based on main concept scores indicate that we can be confident in this measure's ability to detect language impairment.

The median test comparing the four subtypes' main concept scores suggested that the measure was also able to distinguish subtypes within subjects with aphasia. However, further median tests comparing each set of pairs indicated that anomic aphasia was the only subtype significantly different from any of the others with regard to main concept scores. Main concept scores of Broca's, conduction, and Wernicke's subtypes were too similar to suggest any difference between these three subtypes.

It is interesting to note that while the median main concept score of 25 for the anomic group was significantly higher than that of the Broca's and conduction subtypes, it was still significantly lower than the median score of 63, obtained by controls. While anomic aphasia is primarily characterized as a word-finding disorder, Andretta, Cantagallo, and Marini (2012) suggested that narrative coherence can also be impacted in this population. Deficits in discourse skills may be so minor with this population that they are not apparent on many standardized assessment measures, but it should not be assumed that they do not exist and do not affect functional communication abilities. The notable gap apparent between main concept scores of controls and subjects with anomic aphasia makes main concept analysis a promising tool for detecting discourse weaknesses in anomic aphasia.

### *Aim 3: Relationship Between Core Lexicon and Main Concepts*

The relationship between core lexicon production and main concept scores was investigated to determine whether the quick core lexicon analysis correlated strongly with the more thorough (but time-intensive) analysis of narrative discourse. Main concept analysis is a narrative measure which has been supported by previous studies as being a reliable and informative method of assessing adequacy of communication (Nicholas & Brookshire, 1995; Kong, 2009). Our results suggest core lexicon production is strongly related to main concept scores for all groups (controls and all subtypes of aphasia), which makes it a promising method of assessment. This finding lends support to the idea that core lexicon analysis may be a comparable and time-efficient method of characterizing discourse abilities and, potentially, charting treatment outcomes.

Tests of whether core verbs or core nouns alone correlated with main concept scores of each group indicated that individual lexical classes due, indeed, correlate significantly with main concept productions, except in one particular case. For the Wernicke's group, core verb productions did not correlate significantly with main concept scores. Meanwhile, the number of core nouns produced showed a stronger correlation to main concept scores than did total core lexicon production (Table 3.9). These results indicate a notable gap between the noun and verb usage of individual's with Wernicke's aphasia (e.g. "and the two girls, they go to this meeting," contains 1 core verb "go," but the participants fails to get credit for "stepsisters" or "ball" because of non-specific noun usage.). This information is useful and suggests that if a clinician were to use the core verb production of a patient with Wernicke's aphasia to estimate their likely main concept score, the clinician could be overestimating the patient's actual abilities in

the area of main concept production. In fact, core noun analysis specifically would be more appropriate than core lexicon analysis for individuals with Wernicke's aphasia, based on results of correlational tests in this study. For all other groups, the best method of predicting main concept score would be core lexicon analysis, including both verbs and nouns. The only other subtype exhibiting a noticeable difference between correlations obtained with core verbs versus core nouns was the conduction group. Unlike the Wernicke's group, which seemed to show a greater deficit with nouns, the conduction group seemed to show a greater deficit with verbs. However, this tendency was not strong enough to prevent the combined measurement of verb and noun production from being the stronger measurement of discourse skill, based on correlations to main concept scores.

#### *Further Discussion*

Time-efficiency was a primary motivator for pursuing core lexicon and main concept analyses as methods of assessment. While the CLAN system has yet to be automated with these assessment functions, relative efficiency exists even in the manual calculation of these measurements. While the completion of this study required much deliberate analysis, the most time-consuming portions related to the creation of the core lexicon and main concept lists, and not in the subsequent use of the tools. Core lexicon analysis, in particular, was a quick method of acquiring a quantitative measurement. Commands can be inserted into CLAN with desired specifications. For core lexicon analysis, one can request the program to create an excel spreadsheet of all the verbs or all the nouns a participant produced specifically during narration of one story. The assessor can then highlight the columns corresponding to words included in the core lexicon and

count the total number produced by the participant. Once the core lexicon tool is created within CLAN, even this short process will be eliminated, and simply typing in the core words produced by a client will provide immediate results. Main concept analysis required substantially more time than core lexicon analysis, and, admittedly, may be an unrealistic tool for clinical use until automization occurs. Judging accuracy and completeness of concepts requires careful consideration, and it is hoped that through the input of many variations and alternative productions into CLAN, the system will be able to code concepts accordingly.

Along with being time-efficient, the other main concern regarding these assessment tools was that the information they provided actually indicate relevant skills. The fact that we are in need of discourse analysis tools that reflect functional communication and life participation make the task of establishing relevancy difficult. We were not able to correlate our findings to an established standardized assessment tool with strong psychometric properties, but several points can be made, which suggest promise for the relevancy of these analyses. First, and foremost, core lexicon, core verb, core noun, and main concept analyses all clearly separated speakers with and without aphasia. Secondly, some logical results arose from these analyses, such as higher production scores for anomic participants and lower production scores for Broca's participants. Lastly, the fact that core lexicon and main concept analyses were significantly correlated for every subtype, and strongly so for most subtypes, serves as somewhat of a validity check for the two measurements. The tools are designed to assess narrative ability, they follow distinctly different methods, and, yet, they result in highly correlated findings. In order to truly determine whether core lexicon and main concept

analysis are valid assessments for determining life participation and functional communication ability, future studies will need to gather quantitative data for these targeted outcomes. A study currently in progress within this lab involves investigating the validity of core lexicon and main concept analysis as predictors of listener perceptions of a speaker by correlating the scores of speaker to listener ratings of the speaker. Other future studies could correlate the discourse analysis scores to results of questionnaires completed by speakers themselves and by family members in order to determine how they really relate to the measurements that matter, functional communication and life participation.

Grande et al. (2008) found computer analysis of discourse measurements to be sensitive enough to monitor improvement over the course of treatment. Because the current study looked only at a single narrative sample of each participant, nothing can be drawn from the data about the longitudinal abilities of core lexicon and main concept analyses. Future research is needed, in which several narrative samples are collected and analyzed at different times throughout the course of intervention.

Webster et al. (2007) stated the importance of first analyzing transcripts of control subjects to get a clear picture of how a person without language impairment would produce a story. This suggestion was followed in the current study to ensure that the core lexicon and main concept lists reflected typical Cinderella narrative productions. The production of PWAs was simply compared to that of adults of a similar age range, who, as a group, were in no way more familiar with the task than the PWAs themselves. This method of developing core lexicon and main concept lists based on control participants is suggested for future studies, as opposed to having researchers generate lists based on

their own, perhaps biased, notions. Establishing core lexicons and main concepts for a wide variety of tasks and a large number of prompts would allow clinicians to assess skills for different communication needs and allow them to repeatedly assess progress without the concern of improved performance simply due to familiarity with the prompt. Another study currently in progress in this lab is developing a main concept list for a sequencing task, as well as for a picture description task.

As suggested by Edwards (1998), no one task should be used to assess the speech and language abilities of a client. Although, core lexicon and main concept analyses could contribute to a well-rounded assessment, this is not to say that they should be used in place of established aphasia assessment tools. These analyses should, instead, be tools for use in conjunction with other measurements as a way of gaining additional information not attained otherwise.

After analyzing 271 Cinderella transcripts, further support can be added to the claim that the Cinderella story is ideal for a narrative task. The story was familiar to most, predictable enough for researchers to interpret productions, and demanding enough to require a lengthy and complex narrative. There was notable variability in the way different narrators worded the same concepts, so it will be vital to develop methods of recognizing such variable productions when creating the automatized program within CLAN. A study currently in progress in this lab is investigating differences in the main concepts produced during narration of the Cinderella story based on age. This could have important implications for which main concepts and how many main concepts you should expect a client to produce. Perhaps distinct main concept lists are warranted for different

age ranges. If this is the case, follow-up studies will explore the need for separate core lexicon lists based on age, as well.

Core lexicon and main concept scores were reflective of speech production differences between some subtypes, but were unable to differentiate each and every subtype from one another. Grande et al.'s (2008) found that the best tools for showing improvements of a speaker with one type of aphasia may not be the same as those most sensitive to improvements made by a speaker with another type of aphasia. The current study supported this finding in that core nouns are more strongly correlated to main concept score in those with Wernicke's aphasia, but core verbs are more strongly correlated to main concept score in those with Conduction aphasia. Other differences can be seen between groups in the tables and figures of results.

Core lexicon production correlated strongly to main concept scores for all subtypes, despite the fact that the core lexicon established for this study included only two lexicon classes. This provides evidence that analyzing only verb and noun production is not only a time saving strategy, but it is also a technique that provides sufficient information for judgment of narrative quality. Because time is a finite commodity and a single client can only be allocated a certain amount of a clinician's time, assessments should only be as long as deemed necessary for obtaining relevant information. Seeing as core lexicon production correlated strongly to main concept production while only consisting of verbs and nouns, spending time analyzing other lexicon classes would likely not provide enough additional information to be justifiable, though should be ruled in or out with further research. Interesting, based on results of this study, analyzing noun production alone, rather than noun and verb production, may actually provide a better

indication of narrative quality for individuals with Wernicke's aphasia. Looking at nouns and verbs is most informative for all other subtypes. However, if time is extremely limited, verb production is most informative of speakers with conduction aphasia and noun production is most informative of those with anomic and Broca's aphasia. Analyzing the suggested lexical classes for each subtype provides a slightly weakened prediction of main concept production as compared to analyzing the two class lexicon together. While there may be a situation in which a clinician opts for single lexical class analysis, analyzing both verbs and nouns seems to be the most optimal and efficient use of time for clients with all subtypes of aphasia, except Wernicke's.

#### *Future Research*

A potentially informative follow-up study of this particular data would be to further investigate the differences in main concept production between aphasia subtypes, by comparing the accuracy and completeness codes received by each subtype. This study judged main concept production only based on composite score, which may be leaving out pertinent information regarding production. Perhaps, for example, certain subtypes lost the majority of points in the area of accuracy, while another subtype lost the majority of points in the area of completeness. This information would be helpful to know. The developed core lexicon and main concept lists will be utilized in other investigations of speakers with aphasia and of other populations, such as TBI. The effects of gender and age on the quantitative and qualitative aspects of discourse will be explored using the generated main concept list. If clear differences existed, several lists could be developed, allowing clinicians to determine discourse adequacy using a list that is most appropriate to a given client's demographics. As more subjects are added to AphasiaBank, and to the

newly developed TBIBank, lexicons and main concepts will be re-analyzed, and the lists will be revised. Perhaps a future study could include other lexical classes, such as pronouns and conjunctions, to investigate whether their inclusion may strengthen the method's predictive power or provide some information regarding coherence. Main concept and core lexicon lists could also be developed for other discourse tasks, such as picture description and sequencing. To establish functional relevance of these two discourse measurements, future studies should investigate their correlations to quality of life measures and to listener ratings of narrative adequacy. Along with making checklists immediately available, another future direction of this research is to develop automatized core lexicon and main concept analyses tools within Computerized Language Analysis (CLAN). It is essential that researchers continue to develop time-efficient methods of discourse analysis to equip clinicians and researchers with practicable tools for measuring functional outcomes.

### *Conclusion*

Discourse analysis is often time-consuming and impractical for everyday clinical use. Results of this study lend support to the usefulness of further development and application of core lexicon and main concept analyses as efficient methods of determining narrative adequacy in PWAs. With these tools, the goal is that clinicians will increasingly target narrative discourse during treatment of PWAs. Long-term, it is hoped that an increased emphasis on discourse will result in greater quality of life for PWAs.

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APPDENDIX A: Cinderella Core Verb Lexicon

Be	Look
Come	Lose
Could	Make
Dance	Marry
Do	Run
Find	Say
Fit	See
Get	Take
Go	Tell
Have	Try
Know	Turn
Leave	Want
Live	Will

APPENDIX B: Cinderella Core Noun Lexicon

Ball

Cinderella

Daughter

Dress

Fairy

Foot

Girl

Glass

Home

Horse

House

Midnight

Mother

Mouse

Prince

Pumpkin

Sister

Slipper

Time

### APPENDIX C: Cinderella Main Concepts

- Dad got remarried.
- Stepsister and/or stepmother were mean to Cinderella.
- Cinderella sweeps/cleans/does all the housework.
- The prince needs to find a wife.
- There is going to be a ball.
- They (or anyone in the house) got an invitation [to the ball].
- Cinderella isn't allowed to go.
- Cinderella obtains (finds/makes) a dress.
- Stepsisters ruin/tear/throw away/take her dress.
- Cinderella was upset/crying.
- Along came her fairy godmother.
- Fairy godmother turned pumpkin and mice into carriage and horses.
- Fairy godmother made a beautiful gown and pretty glass slippers.
- Cinderella went to the ball/dance/party.
- Cinderella has to be home by midnight.
- Prince and Cinderella dance.
- Prince falls in love/is enamored with Cinderella.
- The clock struck midnight/She realizes she must leave.
- She ran for the stairs/ran for the door.

- Prince falls in love/is enamored with Cinderella.
- The clock struck midnight/She realizes she must leave.
- She ran for the stairs/ran for the door.
- She gets away/gets to the carriage/gets home.
- She lost (left, dropped) one of the glass slippers/slipper was left.
- The prince (or his servants) uses slipper to search for Cinderella.
- The prince and/or his servants showed up at Cinderella's house.
- Two stepsisters try the slipper on.
- The slipper didn't fit the stepsisters.
- The slipper fit Cinderella.
- Cinderella and the prince get married.
- Cinderella and the prince lived happily ever after.